

## NEW APPLICATION TRANSMITTAL

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on *October 27, 2003* under "EXPRESS MAIL" mailing label number EL 975170158 US.

*Elizabeth A. VanAntwerp*  
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22154 U.S. PTO  
10/694936



Transmitted herewith for filing is the patent application of:

Inventor 1: Sunao TANAKA  
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Japan

Inventor 2: Masaki KUMAGAI  
Address: c/o Sumitomo Light Metal Industries Ltd.  
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Japan

Title: METHOD OF JOINING TOGETHER TWO PLANAR MEMBERS BY FRICTION  
STIR WELDING, AND TAB PLATE USED IN THE SAME METHOD

**1. Papers enclosed**

**A. Required for filing date under 37 CFR 1.53(b) (Regular) are:**

36 Page(s) of Specification  
6 Page(s) of Claims  
1 Page(s) of Abstract  
5 Sheets of Formal Drawings (Figs. 1-5)

**B. Other Papers Enclosed**

- ☒ A Patent Application Bibliographic Data Sheet
- ☒ A Preliminary Amendment
- ☒ A Declaration for Utility or Design Application Using an Application Data Sheet
- ☒ A Submission of Certified Copy of Priority Document and a certified copy of:

<u>Country</u>	<u>Application Number</u>	<u>Filing Date</u>
Japan	2002-321295	November 5, 2002

- ☒ An Information Disclosure Statement
- ☒ PTO Form-1449
- ☒ An Assignment Transmittal and Assignment of the invention to:

Sumitomo Light Metal Industries, Ltd.  
11-3, Shinbashi 5-Chome  
Minato-Ku, Tokyo 105-8601  
Japan

**2. The correspondence address for this application is:** Customer No. 025191

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**3. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)**

☐ Applicants hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

☐ Applicants hereby request that the attached application **not** be published under 35 U.S.C. 122(b).

**4. The filing fee has been calculated as shown below:**

<b>Basic Filing fee</b> (37 CFR §1.16(a))				<b>\$770.00</b>
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>	
Total Claims	16 - 20 =	0	× \$ 18.00	
Independent Claims	2 - 3 =	0	× \$ 86.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$290.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$770.00</b>
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				
<b>SUBTOTAL =</b>				<b>\$770.00</b>
<input type="checkbox"/> Fee for processing an application filed with a specification in a non-English language (37 C.F.R. 1.17(i) and 1.52(d)--\$130.00)				
<input checked="" type="checkbox"/> Assignment Fee (37 CFR §1.21(h)--\$40.00)				<b>\$40.00</b>
<b>TOTAL FEES DUE =</b>				<b>\$810.00</b>

**5. Payment of Fees and Authorization to Charge Additional Fees  
or Credit Overpayment**

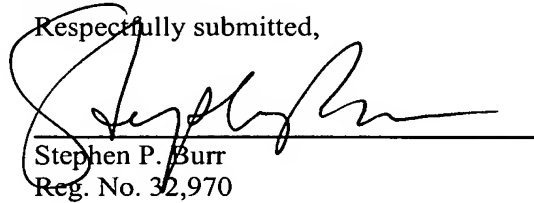
☒ A check in the amount of \$810.00 is enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.

☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Stephen P. Burr  
Reg. No. 32,970

October 27, 2003

Date

SPB/eav

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